

jc500 U.S. PRO
05/18/98

on

May 13, 1968

By_

Heck, Jim

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For: SYSTEMS AND METHODS FOR
ELECTROSURGICAL MYOCARDIAL
REVASCULARIZATION

REQUEST FOR CORRECTED
FILING RECEIPT

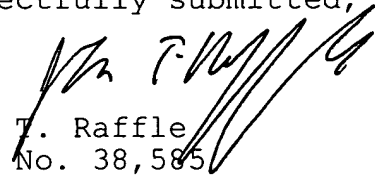
JUN 1 1998

GROUP 3200

The correction is noted on the enclosed copy of the filing receipt.

Applicant believes that no fee is required for submission of this request, since the error was not due to Applicant. However if a fee is due, the Commissioner is authorized to charge deposit account no. 50-0359.

Respectfully submitted,


John T. Raffle
Reg. No. 38,585

ArthroCare Corporation
595 N. Pastoria Avenue
Sunnyvale, California 94086
(408) 736-0224



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FILING RECEIPT

05/18/98

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/054,660	04/03/98	3734	\$2,170.00	C-6-2	21	79	4

JAMES M HESLIN
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
8TH FLOOR
SAN FRANCISCO CA 94111-3834

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

PHILIP E. EGGERS, DUBLIN, OH; HIRA V. THAPLIYAL,
LOS ALTOS, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/562,331 11/22/95 PAT 5,683,366
WHICH IS A CIP OF 08/485,219 06/07/95 PAT 5,697,281

FOREIGN APPLICATIONS- PCT

US94/05168

05/10/94

FOREIGN FILING LICENSE GRANTED 05/01/98

TITLE

SYSTEMS AND METHODS FOR ELECTROSURGICAL MYOCARDIAL REVASCULARIZATION

PRELIMINARY CLASS: 604

RECEIVED

JUN 1 1998

GROUP 3200

THIS APPLN IS A CON OF 08/753,227 11/22/96

TOWNSEND & TOWNSEND
& CREW
98 MAY -8 AM 7:58
RECEIVED

John T. Raffle
Reg. No. 38,585

03-21-1997

Attorney Docket No. 16238-002200

FORM PTO-1595
(Rev. 6-93)

59158 U.S. PTO

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents

100375738

Documents or copy thereof.

1. Name of conveying party(ies):

Philip E. Eggers and Hira V. Thapliyal

2. Name and address of receiving party(ies):

Name: ArthroCare Corporation

Internal Address:

Street Address: 595 North Pastoria Avenue

City: Sunnyvale State: California ZIP: 94086

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: January 8 and January 10, 1997

4. Application number(s) or patent number(s).

08/753227

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/753,227

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John T. Raffle
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 326-2400

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John T. Raffle
Name of Person Signing

Signature

Date

Atty. Reg. No. 38,585

Total number of pages including cover sheet, attachments, and document: 3

10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Do not detach this portion

Asst. Commissioner for Patents
Box Assignments
Washington, D.C. 20231

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Philip E. Eggers of 5366 Reserve Drive, Dublin, Ohio 43017, and Hira V. Thapliyal of 1192 Volti Lane, Los Altos, California 94024, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **Systems and Methods For Electrosurgical Myocardial Revascularization**

Date(s) of execution of Declaration: _____

Filing date: November 22, 1996 Application No.: 08/753,227; and

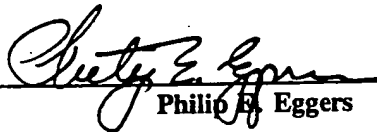
WHEREAS, ArthroCare Corporation a California corporation, located at 595 North Pastoria Avenue, Sunnyvale, California 94086, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: January 10, 1997


Philip E. Eggers

Date: _____

Hira V. Thapliyal

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Philip E. Eggers of 5366 Reserve Drive, Dublin, Ohio 43017, and Hira V. Thapliyal of 1192 Volti Lane, Los Altos, California 94024, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

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For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: _____

Philip E. Eggers

Date: Jan. 2 1997

Hira V. Thapliyal
Hira V. Thapliyal